



SECTION  
PATENT

PATENT  
ATTORNEY DOCKET NO.: SCRIP1200-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Griffin and Zlokovic Art Unit: 1645  
Application No.: 09/777,484 Examiner: Unassigned  
Filed: February 5, 2001  
Title: NEUROPROTECTIVE, ANTITHROMBIC AND ANTI-INFLAMMATORY  
USES OF ACTIVATED PROTEIN C (APC)

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

In response to the Notice to File Missing Parts of Application mailed March 14, 2001, enclosed are:

1. A copy of the Notice to File Missing Parts of Application (1 page);
2. An executed Declaration For Patent Application (3 pages);
3. Power of Attorney by Assignee (2 pages);
4. Petition for Four Month (4) Month Extension of Time fee;
5. Check No. 489098 in the amount of \$1,367.00; and
6. Return Receipt Postcard.

|   |                              |
|---|------------------------------|
| <b>CERTIFICATION UNDER 37 CFR §1.8</b>  |                              |
| I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, September 14, 2001, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231. |                              |
| <hr/> Aldon Griffis<br>(Name of Person Mailing Paper)   |                              |
| <hr/> Aldon Griffis<br>(Signature)  | September 14, 2001<br>(Date) |

In re Application of:

Griffin and Zlokovic

SEP 18 2001

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Applicants claim small entity status in the above-identified application. Pursuant to 37 C.F.R. § 1.27, a verified statement claiming small entity status is not required.

The Fee for this Response is calculated as follows:

| For                | Number Filed |     | Number Extra Claims | Large Entity Rate | Small Entity Rate | Calculations              |
|--------------------|--------------|-----|---------------------|-------------------|-------------------|---------------------------|
| Total Claims       | 33           | -20 | =                   | 13                | x \$18.00         | <b>x \$9.00 \$ 117.00</b> |
| Independent Claims | 3            | -3  | =                   | 0                 | x \$80.00         | <b>x \$40.00 \$</b>       |
| Multiple Claims    |              | 1   |                     | 1                 | \$270.00          | <b>\$135.00 \$ 135.00</b> |
| Basic Filing Fee   |              |     |                     |                   | \$710.00          | <b>\$355.00 \$ 355.00</b> |
|                    |              |     |                     |                   | <b>TOTAL FEE</b>  | <b>\$ 607.00</b>          |

Enclosed is Check No. 489098 in the amount of \$1,367.00; which consists of \$355 for the filing fee, \$65.00 surcharge fee for missing parts of the application, \$695.00 for the Four (4) Month Extension of Time fee and \$252 for additional claims. The Commissioner is hereby authorized to charge any additional fees that may be associated with this communication, or credit any overpayment to Deposit Account No. 50-1355. A duplicate copy of this Transmittal Sheet is enclosed.

Respectfully submitted,

Lisa A. Haile, J.D., Ph.D.

Registration No.: 38,347

Telephone: (858) 677-1456

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USPTO CUSTOMER NUMBER 28213

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/777,484         | 02/05/2001          | John H. Griffin       | SCRIP1200-1            |

CONFIRMATION NO. 8867

FORMALITIES LETTER



OC000000005859519

Lisa A. Haile, Ph.D.  
Gray Cary Ware & Freidenrich LLP  
4365 Executive Drive, Suite 1600  
San Diego, CA 92121-2189

RECEIVED

MAR 19 2001

GRAYCARY/GT PATENT

Date Mailed: 03/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$252.
  - \$117 for 13 total claims over 20.
  - \$135 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 672.**

09/19/2001 SZENDIE1 00000019 09777484

|           |        |    |
|-----------|--------|----|
| 01 FC:201 | 355.00 | OP |
| 02 FC:203 | 117.00 | OP |
| 03 FC:204 | 135.00 | OP |
| 04 FC:205 | 65.00  | OP |

*A copy of this notice **MUST** be returned with the reply.*